Additional Investment
Endowment for legal entities

NEDGROUP INVESTMENTS

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator available at www.nedgroupinvestments.com.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once:
   - we have received, reviewed and accepted your completed and signed form and support documentation;
   - we have performed all checks, verifications and assessments required in terms of FICA; and lastly
   - the money reflects in our bank account.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number ☐☐☐☐☐☐☐☐☐

ENTITY TYPE

☐ South African listed company ☐ Partnership ☐ Close corporation
☐ South African unlisted company ☐ South African trust ☐ Foreign trust
☐ Foreign company ☐ Government entity / SOE ☐ Unregulated fund / Association
☐ Retirement fund ☐ Medical Aid ☐ Long-term insurer
☐ Investment schemes manager

Registered name

Trading name local

Trading name foreign

Registration number ☐☐☐☐ ☐☐☐☐☐☐ ☐☐

Country of organisation or incorporation

Date of incorporation ☐☐☐☐☐☐☐☐
D D M M Y Y Y Y

SOURCE OF WEALTH

☐ Company profit ☐ Donations ☐ Investments ☐ Contributions

INDUSTRY (EXCLUDING TRUSTS)

- [ ] Financial, investment and insurance activities
- [ ] Information, technology and communication
- [ ] Accommodation and hospitality services
- [ ] Wholesale and retail trade
- [ ] Arts, entertainment and recreation
- [ ] Craft, trade or artisanal
- [ ] Manufacturing
- [ ] Construction
- [ ] Non-profit / religious
- [ ] Government, Municipal services or SOE
- [ ] Professional, scientific and technical services
- [ ] Administrative and support services
- [ ] Human health, social work and education
- [ ] Transportation and storage
- [ ] Real estate
- [ ] Sport
- [ ] Mining
- [ ] Agriculture, forestry and fishing
- [ ] Gambling
- [ ] Public administration, defence and social security

FOR TRUSTS ONLY - PURPOSE OF TRUST

- [ ] Family trust
- [ ] Business trust
- [ ] Charitable trust
- [ ] Special needs trust

NATURE OF LEGAL ENTITY ACTIVITY

- [ ] Management
- [ ] Administrative and support service
- [ ] Marketing
- [ ] Production and supply
- [ ] Customer service
- [ ] Entertainment / hospitality
- [ ] Sales
- [ ] Distribution
- [ ] Maintenance
- [ ] Financial services
- [ ] Wholesale and retail
- [ ] Trust management

3. Investment details

Total investment amount

(including phase-in if applicable) R

If you would like your additional investment to be processed into a new Endowment policy, please complete an Endowment Initial Investment Form.

PHASE-INS

Do you require your investment to be phased in? ☐ Yes ☐ No

If yes please complete a phase in form.

If you elect to phase-in your investment, the investment amount will be invested into the Nedgroup Investments Core Income Fund and phased-in monthly (over the selected period) into the designated unit trust portfolio(s).

Please indicate the existing account group(s) into which this additional investment is to be processed:

Account group number	Lump sum amount (excl phase-in)	Debit order amount
Total (R)		

Please note

- The total additional investment amount cannot exceed an increase of 120% of the highest total contribution to any account group in any one of the previous two policy years.
- Each amount will be invested proportionately into the unit trust specified in the 'Unit trust portfolio selection' section.

INTENDED PURPOSE OF INVESTMENT

☐ Invest for over 5 years

NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

☐ Invest a single amount with frequent withdrawals after 5 years

☐ Invest multiple amounts with frequent withdrawals after 5 years

☐ Invest a single amount with occasional withdrawals after 5 years

☐ Invest multiple amounts with occasional withdrawals after 5 years

HOW ARE YOU FUNDING THIS TRANSACTION?

☐ Company profit ☐ Donations ☐ Investment ☐ Contributions

☐ Sale of assets ☐ Venture capital

UNIT TRUST PORTFOLIO SELECTION

Please note

- Income distributions will be reinvested (after tax if applicable).
- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).

Unit trust portfolio	Existing account	New account	Lump sum percentage allocation	Debit order percentage allocation	Only applicable if investing with a Financial Planner: Initial FP fee (excl VAT) Lump sum	Initial FP fee (excl VAT) Debit order	Annual FP fee (excl VAT)
	✓	OR ✓			%	%	%
		Total (must equal 100%)	%	%			

FINANCIAL PLANNING FEES

- If no initial fee is completed, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.
- If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your additional investment.

4. Payment details

HOW DO YOU WISH TO PAY FOR THIS INVESTMENT?

Lump sum:

☐ **Collection by Nedgroup Investments**
Electronic collection by Nedgroup Investments is a once off debit from your bank account and is limited to a maximum of R1 million. For amounts larger than R1 million please make payment via EFT. Please complete the 'Investor bank account details' section below. Allow at least two business days between the submission of your instruction and the collection date specified below.

Total lump sum amount (include any Phase-ins) R ____________

Date for electronic collection of lump sum D D M M Y Y Y Y

☐ **I will make payment via electronic funds transfer (EFT)** (please use your entity registration number as reference)
Please make electronic transfers to our bank account. These transfers may take up to two days to appear in our bank account.

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate		

Tax status:	Account name	Account number
Natural persons	Nedgroup Structured Life Endowment Plan 1	1452042519
Non tax paying organisation	Nedgroup Structured Life Endowment Plan 2	1452042527
Retirement fund	Nedgroup Structured Life Endowment Plan 3	1452042535
Company / Close corporation	Nedgroup Structured Life Endowment Plan 4	1452042543

Please note

- We do not accept cash deposits.
- We will only process your instruction once we have received proof of payment.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

Debit Order:

Total debit order investment amount R ______ Debit order to commence in the month of ☐☐☐☐☐☐
M M Y Y Y Y

Debit order collection day ☐ 1st ☐ 15th ☐ 27th

Escalation rate per annum ☐ 5% ☐ 10% ☐ 15% Other ☐ %

Please note

- Debit order escalation percentage cannot exceed 20%.
- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Cheque deposits, once-off debits and debit orders take 45 days to clear.

5. Investor bank account details

It is mandatory to compete this section.

Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Branch code

Account type ☐ Current ☐ Savings

Country

6. Financial planner details and declaration

Name of financial planning business

Name of financial planner

Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date D D M M Y Y Y Y

7. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
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Name		Capacity	