

# Additional Investment

## Unit trusts for individuals



### 1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from our website: [www.nedgroupinvestments.com](http://www.nedgroupinvestments.com), your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
4. We will process your instruction once:
  - we have received, reviewed and accepted your completed and signed form and support documentation;
  - we have performed all checks, verifications and assessments required in terms of FICA; and lastly
  - the money reflects in our bank account.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

### 2. Investor details

Investor number

#### INVESTOR TYPE

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> South African individual | <input type="checkbox"/> Deceased estate | <input type="checkbox"/> Asylum seeker |
| <input type="checkbox"/> Foreign individual       | <input type="checkbox"/> Sole proprietor | <input type="checkbox"/> Refugee       |

#### PERSONAL DETAILS

Title and surname	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
First names	<input type="text"/>
Preferred name	<input type="text"/>
Date of birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> D D M M Y Y Y Y
SA ID number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport number (if foreign national)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport expiry date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> D D M M Y Y Y Y
Passport country of issue	<input type="text"/>
Nationality	<input type="text"/>
Country of birth	<input type="text"/>
Country of residence	<input type="text"/>

### WHAT IS YOUR SOURCE OF WEALTH?

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Salary / Commission | <input type="checkbox"/> Pension / Annuity               | <input type="checkbox"/> Court order / Maintenance |
| <input type="checkbox"/> Business earnings   | <input type="checkbox"/> Inheritance / Donations / Trust | <input type="checkbox"/> Self-employed             |

### WHAT IS YOUR OCCUPATION?

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Government employee / Judge       | <input type="checkbox"/> Professional, scientific and technical services | <input type="checkbox"/> Management                    |
| <input type="checkbox"/> Executive                         | <input type="checkbox"/> Academic  | <input type="checkbox"/> Technical and sciences        |
| <input type="checkbox"/> Administration                    | <input type="checkbox"/> Sales and marketing                             | <input type="checkbox"/> Safety and security personnel |
| <input type="checkbox"/> Arts, performance and hospitality | <input type="checkbox"/> Craft, trade or artisan                         | <input type="checkbox"/> Sport professional            |
| <input type="checkbox"/> Self-employed                     | <input type="checkbox"/> Religious leader                                | <input type="checkbox"/> Retired                       |

Other:

### WHAT INDUSTRY DO YOU WORK IN?

- |   |   |
|---|---|
| <input type="checkbox"/> Financial, investment and insurance activities | <input type="checkbox"/> Professional, scientific and technical services    |
| <input type="checkbox"/> Information, technology and communication      | <input type="checkbox"/> Administrative and support services                |
| <input type="checkbox"/> Accommodation and hospitality services         | <input type="checkbox"/> Human health, social work and education            |
| <input type="checkbox"/> Wholesale and retail trade                     | <input type="checkbox"/> Transportation and storage                         |
| <input type="checkbox"/> Arts, entertainment and recreation             | <input type="checkbox"/> Real estate  |
| <input type="checkbox"/> Craft, trade or artisan                        | <input type="checkbox"/> Sport professional                                 |
| <input type="checkbox"/> Manufacturing                                  | <input type="checkbox"/> Mining   |
| <input type="checkbox"/> Construction                                   | <input type="checkbox"/> Agriculture, forestry and fishing                  |
| <input type="checkbox"/> Non-profit / religious                         | <input type="checkbox"/> Gambling   |
| <input type="checkbox"/> Government, Municipal services or SOE          | <input type="checkbox"/> Public administration, defence and social security |
| <input type="checkbox"/> Unemployed                                     |   |

## 3. Investment details

**Total investment amount** R   
(including phase-in if applicable)

### PHASE-INS

Do you require your investment to be phased in?  Yes  No

If yes, please submit the relevant Phase-in Form.

If you elect to phase-in your investment, the investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly (over the selected period) into the designated unit trust portfolio(s). You may not elect to phase into a unit trust portfolio from which a recurring withdrawal has been requested.

### INTENDED PURPOSE OF INVESTMENT

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Invest for 0 – 3 years | <input type="checkbox"/> Invest for 3 - 5 years | <input type="checkbox"/> Invest for over 5 years |
|---|---|--|

### NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

- |  |
|--|
| <input type="checkbox"/> Invest a single amount with frequent withdrawals    |
| <input type="checkbox"/> Invest multiple amounts with frequent withdrawals   |
| <input type="checkbox"/> Invest a single amount with occasional withdrawals  |
| <input type="checkbox"/> Invest multiple amounts with occasional withdrawals |

### HOW ARE YOU FUNDING THIS TRANSACTION?

- |  |   |   |  |
|--|---|---|--|
| <input type="checkbox"/> Salary / Commission       | <input type="checkbox"/> Investments / Rental income        | <input type="checkbox"/> Sale of assets | <input type="checkbox"/> Pension / Annuity |
| <input type="checkbox"/> Court order / Maintenance | <input type="checkbox"/> Inheritance / Donations / Winnings | <input type="checkbox"/> Self-employed  | <input type="checkbox"/> Business earnings |

## UNIT TRUST PORTFOLIO SELECTION

### UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

Unit trust portfolio	Account number	Lump sum amount to be invested (excl phase-in)	Debit order amount to be invested	Only applicable if investing with a Financial Planner	
				Initial FP fee (excl VAT)	
				Lump sum	Debit order
				%	%
<b>Total (R)</b>					

#### Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).
- A debit order may not be selected if you have a recurring withdrawal being processed against the same unit trust portfolio(s) selected for the debit order.

#### Financial planning fees:

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

### UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

#### Please note

- A debit order may not be selected if you have a recurring withdrawal being processed into the same unit trust portfolio(s) selected for the debit order.
- If no income distribution preference is selected your distribution will be reinvested.

- If you select payout as your income distribution option:
  - distribution amounts will be paid electronically into the bank account provided in the 'Investor bank account' details section.
  - distribution amounts will not be paid to third party accounts or by cheque.
  - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.

					Only applicable if investing with a Financial Planner			
Unit trust portfolio	Lump sum amount to be invested (excl phase-in)	Debit order amount to be invested	Income distribution		Initial FP fee (excl VAT)		Annual FP fee (excl VAT)	
			Reinvest	Payout	Lump sum	Debit order		
<b>Equity portfolios</b>			✓	OR	✓	%	%	%
Rainmaker								
Value								
Growth								
Private Wealth Equity								
<b>Specialist equity portfolios</b>								
Entrepreneur								
Mining & Resource								
Financials								
<b>Asset allocation portfolios</b>								
Stable								
Opportunity								
Managed								
Balanced								
Bravata Worldwide Flexible								
<b>Income portfolios</b>								
Money Market								
Core Income								
Flexible Income								
Core Bond								
Property								
<b>Core portfolios</b>								
Core Guarded								
Core Diversified								
Core Accelerated								
<b>International portfolios</b>								
Global Property Feeder								
Core Global Feeder								
Global Cautious Feeder								
Global Flexible Feeder								
Global Equity Feeder								
<b>Additional unit trust portfolios</b>								
<b>Total (R)</b>								

#### FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.
- If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your additional investment.

## 4. Payment details

### HOW DO YOU WISH TO PAY FOR THIS INVESTMENT?

#### Lump sum:

**Collection by Nedgroup Investments**

Electronic collection by Nedgroup Investments is a once off debit from your bank account and is limited to a maximum of R1 million. For amounts larger than R1 million please make payment via EFT. Please complete the 'Investor bank account details' section below. Allow at least two business days between the submission of your instruction and the collection date specified below.

Total lump sum amount R   
(include any Phase-ins)

Date for electronic collection of lump sum   
D D M M Y Y Y Y

**I will make payment via electronic funds transfer (EFT)** (please use your SA ID or entity registration number as reference)

Please make electronic transfers to our bank account. These transfers may take up to two days to appear in our bank account.

### NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

#### Please note

- We do not accept cash deposits.
- We will only process your instruction once we have received proof of payment.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

#### Debit Order:

Total debit order investment amount R  Debit order to commence in the month of   
M M Y Y Y Y

Debit order collection day  1st  15th  27th

Escalation rate per annum  5%  10%  15% Other  %

#### Please note

- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Cheque deposits, once-off debits and debit orders take 45 days to clear.

## 5. Recurring withdrawal details

**Please note**

- You may not request a recurring withdrawal from the same unit trust portfolio into which a debit order or phase-in is being processed.
- A recurring withdrawal may attract Capital Gains Tax (CGT).
- Recurring withdrawal payments will be made to the bank account specified under the 'Investor bank account details' section.
- If the market value of the unit trust portfolio selected falls below R2 500, we will pay the entire balance as the final payment.
- Recurring withdrawals will be processed on the start date indicated below and as per your frequency indicated thereafter. The funds may take an additional two days to reflect. Your instruction needs to reach us at least two days before the start date indicated below, alternatively the recurring withdrawal will be processed in the following month.
- If the payment date falls on a non-working day, please note that payment will be made on the next business day.
- You may ask for a once off withdrawal of part or all of your investment as per the Investment Agreement. You will need to submit a completed withdrawal form for us to process this request.

Unit trust portfolio (to fund the recurring withdrawal)	Frequency		Start date (DD/MM/YYYY)	Rand amount
	Monthly	Quarterly		
	✓	OR		
			<b>Total (R)</b>	

## 6. Investor bank account details

It is mandatory to complete this section.

**Please note**

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details.
- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch  Branch code

Account type  Current  Savings Country

## 7. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date

D D M M Y Y Y Y

## 8. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised  
signatory

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
D	D	M	M	Y	Y	Y	Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

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