Additional Investment funded by a transfer

Tax-free Investment

NEDGROUP INVESTMENTS

1. Receiving product provider

Product provider name	Nedgroup Collective Investments (Pty) Limited		
Registration number	1996/017075/07	SARS reference number	9567186847
Tax free savings account product name	Nedgroup Investments Tax-free Investment		

2. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement and Portfolio Characteristics document which can be obtained from our website: **www.nedgroupinvestments.com**, your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once:
   - we have received, reviewed and accepted your completed and signed form and support documentation;
   - we have performed all checks, verifications and assessments required in terms of FICA; and lastly
   - the money reflects in our back account.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

3. Investor details

Investor number ☐☐☐☐☐☐☐☐☐

INVESTOR TYPE

☐ South African individual

Title and surname

First names

Preferred name

Date of birth D D M M Y Y Y Y

SA ID / passport number

Passport expiry date D D M M Y Y Y Y

Passport country of issue

Nationality

Country of birth

WHAT IS YOUR SOURCE OF WEALTH?

- [ ] Salary / Commission
- [ ] Pension / Annuity
- [ ] Court order / Maintenance
- [ ] Business earnings
- [ ] Inheritance / Trust
- [ ] Self-employed

WHAT IS YOUR OCCUPATION?

- [ ] Government employee/judge
- [ ] Professional, scientific and technical services
- [ ] Management
- [ ] Executive
- [ ] Academic
- [ ] Technical and sciences
- [ ] Administration
- [ ] Sales and marketing
- [ ] Safety and security personal
- [ ] Arts, performance and hospitality
- [ ] Crafter, trader or artisan
- [ ] Sport professional
- [ ] Self-employed
- [ ] Religious leader
- [ ] Retired
- [ ] Unemployed

Other: ____________________

WHAT INDUSTRY DO YOU WORK IN?

- [ ] Financial, investment and insurance activities
- [ ] Information, technology and communication
- [ ] Accommodation and hospitality services
- [ ] Wholesale and retail trade
- [ ] Arts, entertainment and recreation
- [ ] Craft, trade or artisanal
- [ ] Manufacturing
- [ ] Construction
- [ ] Non-profit / religious
- [ ] Government, Municipal services or SOE
- [ ] Unemployed
- [ ] Professional, scientific and technical services
- [ ] Administrative and support services
- [ ] Human health, social work and education
- [ ] Transportation and storage
- [ ] Real estate
- [ ] Sport
- [ ] Mining
- [ ] Agriculture, forestry and fishing
- [ ] Gambling
- [ ] Public administration, defence and social security

4. Transferring product provider details

Product provider name ____________________

Product name ____________________

Product type ____________________

- [ ] Full transfer
- [ ] Partial transfer

Contact person at transferring product provider ____________________

Contact number + __ __ (0) __ __ __ __ __ __ __ __ __

Email address ____________________

5. Investment details

INTENDED PURPOSE OF INVESTMENT

☐ Invest for 0 – 3 years ☐ Invest for 3 - 5 years ☐ Invest for over 5 years

NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

☐ Invest a single amount with frequent withdrawals
☐ Invest multiple amounts with frequent withdrawals
☐ Invest a single amount with occasional withdrawals
☐ Invest multiple amounts with occasional withdrawals

HOW ARE YOU FUNDING THIS TRANSACTION?

☐ Salary / Commission ☐ Investments / Rental income ☐ Sale of assets ☐ Pension / Annuity
☐ Court order / Maintenance ☐ Inheritance / Donations / Winnings ☐ Self-employed ☐ Business earnings

UNIT TRUST PORTFOLIO SELECTION

UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

			Only applicable if investing with a Financial Planner
Unit trust portfolio	**Account number**	**Percentage allocation**	**Initial FP (excl VAT)**
		%	%
	Must equal 100%		

Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).

Initial financial planning fees

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

Please note

- Income distributions will be reinvested (after tax if applicable).

Unit trust portfolio	Percentage allocation	Only applicable if investing with a Financial Planner Initial FP fee (excl VAT)	 Annual FP fee (excl VAT)
	%	%	%
Must equal 100%			

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Annual fees

- If you have nominated an account from which the fee is to be recovered, your selection will apply to your additional investment.

6. Payment details

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

Please note

- We will only process your investment once we have recived a completed transfer certificate and proof of payment from the transferring product provider.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

NEDGROUP INVESTMENTS

7. Investor bank account details

It is mandatory to complete this section.

Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch

Branch code

Account type

Current

Savings

Country

8. Financial planner details and declaration

Name of financial planning business

Name of financial planner

Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date D D M M Y Y Y Y

9. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory

Date D D M M Y Y Y Y

Name

Capacity