

Additional Investment funded by a transfer

Tax-free Investment



1. Receiving product provider

Product provider name	Nedgroup Collective Investments (Pty) Limited		
Registration number	1996/017075/07	SARS reference number	9567186847
Tax free savings account product name	Nedgroup Investments Tax-free Investment		

2. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement and Portfolio Characteristics document which can be obtained from our website: www.nedgroupinvestments.com, your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once:
 - we have received, reviewed and accepted your completed and signed form and support documentation;
 - we have performed all checks, verifications and assessments required in terms of FICA; and lastly
 - the money reflects in our back account.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

3. Tax-free investments notes

1. Total contributions are limited to R33 000 per tax year (R500 000 over your lifetime) across all tax-free investments, regardless of product provider, including monthly debit orders.
2. Should your contributions exceed R33 000 per tax year, SARS will tax the over contributions at a flat rate of 40%. Please refer to the latest Investment Agreement for further clarity.
3. You can withdraw from your tax-free investment at any time.
4. Withdrawn amounts that are re-invested will be treated as additional contributions and therefore be subject to your annual contribution threshold of R33 000 as well as your lifetime R500 000 threshold.
5. Income distributions will be reinvested.

4. Investor details

Investor number

INVESTOR TYPE

South African individual

Title and surname

First names

Preferred name

Date of birth
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SA ID / passport number

Passport expiry date
D D M M Y Y Y Y

WHAT IS YOUR SOURCE OF WEALTH?

Salary / Commission

Business earnings

Pension / Annuity

Inheritance / Donations / Trust

Court order / Maintenance

Self-employed

WHAT IS YOUR OCCUPATION?

Government employee / Judge

Executive

Administration

Arts, performance and hospitality

Self-employed

Unemployed

Professional, scientific and technical services

Academic

Sales and marketing

Craft, trade or artisan

Religious leader

Management

Technical and sciences

Safety and security personnel

Sport professional

Retired

Other:

WHAT INDUSTRY DO YOU WORK IN?

Financial, investment and insurance activities

Information, technology and communication

Accommodation and hospitality services

Wholesale and retail trade

Arts, entertainment and recreation

Craft, trade or artisan

Manufacturing

Construction

Non-profit / religious

Government, Municipal services or SOE

Unemployed

Professional, scientific and technical services

Administrative and support services

Human health, social work and education

Transportation and storage

Real estate

Sport professional

Mining

Agriculture, forestry and fishing

Gambling

Public administration, defence and social security

5. Transferring product provider details

Product provider name

Registration number SARS Ref number

Product name

Product type

Full transfer Partial transfer

Contact person at transferring product provider

Contact number + (0)

Email address

6. Investment details

INTENDED PURPOSE OF INVESTMENT

- Invest for 0 – 3 years Invest for 3 - 5 years Invest for over 5 years

NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

- Invest a single amount with frequent withdrawals
- Invest multiple amounts with frequent withdrawals
- Invest a single amount with occasional withdrawals
- Invest multiple amounts with occasional withdrawals

HOW ARE YOU FUNDING THIS TRANSACTION?

- Salary / Commission Investments / Rental income Sale of assets Pension / Annuity
- Court order / Maintenance Inheritance / Donations / Winnings Self-employed Business earnings

UNIT TRUST PORTFOLIO SELECTION

UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)

UNIT TRUST PORTFOLIO SELECTION (for existing portfolio)			Only applicable if investing with a Financial Planner
Unit trust portfolio	Account number	Percentage allocation	Initial FP (excl VAT)
		%	%
		Must equal 100%	

Please note

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).

Initial financial planning fees

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

UNIT TRUST PORTFOLIO SELECTION (for new portfolios)

Unit trust portfolio	Percentage allocation	Only applicable if investing with a Financial Planner	
		Initial FP fee (excl VAT)	Annual FP fee (excl VAT)
Equity portfolios	%	%	%
Rainmaker			
Value			
Growth			
Private Wealth Equity			
Specialist equity portfolios			
Entrepreneur			
Mining & Resource			
Financials			
Asset allocation portfolios			
Stable			
Opportunity			
Managed			
Balanced			
Bravata Worldwide Flexible			
Income portfolios			
Core Income			
Flexible Income			
Core Bond			
Property			
Core portfolios			
Core Guarded			
Core Diversified			
Core Accelerated			
International portfolios			
Global Property Feeder			
Global Cautious Feeder			
Global Flexible Feeder			
Global Equity Feeder			
Core Global Feeder			
Additional unit trust portfolios			
Must equal 100%			

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Annual fees

- If you have nominated an account from which the fee is to be recovered, your selection will apply to your additional investment.

7. Payment details

NEDGROUP INVESTMENTS BANK ACCOUNT DETAILS

Bank:	Nedbank	Branch code:	198765
Branch:	BS Corporate	Account Number:	1452027900
Account name:	Nedgroup Collective Investments Inflow Account		

Please note

- We will only process your investment once we have received a completed transfer certificate and proof of payment from the transferring product provider.
- Interest will be earned (at the rate applicable to the abovementioned bank account) from the first day after the investment amount has been deposited until invested.

8. Investor bank account details

It is mandatory to complete this section.

Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch Branch code

Account type Current Savings Country

9. Financial planner details and declaration

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

10. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised
signatory

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
D	D	M	M	Y	Y	Y	Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001
PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.com

Directors: I Ruggiero NA Andrew CE Sevenoaks