

Initial Investment

Nedgroup Investments Pension Preservation Fund Nedgroup Investments Provident Preservation Fund



The Nedgroup Investments Pension Preservation Fund is administered by Silica Financial Administration Solutions (Pty) Ltd. In this document references to “we”; “us”; “our” are references to the Fund and/or the administrator.

FSCA Registration number:	12/8/0037698	SARS approval number:	18/20/4/041933
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FSCA Registration number:	12/8/0037699	SARS approval number:	18/20/4/041931
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1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator available at www.nedgroupinvestments.com.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once
 - we have received, reviewed and accepted your completed signed form and supporting documentation;
 - we have performed all checks, verifications and assessments required in terms of FICA; and lastly
 - the money reflects in our bank account.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing);
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Online Access to Your Investment

Information regarding your investment may also be accessed from our secure site. Once you have received your investor number, you may register on the Nedgroup Investments website. To access the site go to www.nedgroupinvestments.co.za and click on 'Register/Login' in the top right hand corner of the landing page.

Please note

- We will need to have a valid cell phone number and email address on record in order for you to register successfully.

3. Investor details

Please note

- All fields must be completed in order to process your instruction
- If you are completing this on behalf of an investor, please complete and attach the Appoint a person to act on your behalf form, available from our website.

INVESTOR TYPE

- South African individual
- Foreign individual

PERSONAL DETAILS

Title and surname

First names

Preferred name

Date of birth
D D M M Y Y Y Y

SA ID number

Passport number
(if foreign national)

Passport expiry date
D D M M Y Y Y Y

Passport country of issue

Nationality

Country of birth

CONTACT DETAILS

Cell (mandatory) + (0)

Email address

Please note

- This email address will be used for correspondence pertaining to this investment.
- Should you not complete this field, please acknowledge and accept that you will not receive email notifications about this investment.

Alternate telephone + (0)

Residential address

Code

Country South Africa

If other

Postal address (if different)

Code

Country South Africa

If other

4. Income, tax and residency

TAX STATUS

Is South Africa your country of primary tax residence? Yes No

Are you registered to pay tax in South Africa? Yes No

If yes, tax number:

RESIDENCY STATUS

Please indicate your residency status:

Permanent resident (including citizen) - you are a permanent resident of South Africa or the Common Monetary Area (i.e. Namibia, Swaziland and Lesotho).

Non-resident - you have never lived in South Africa or the Common Monetary Area.

Emigrant - you have formally emigrated from South Africa or the Common Monetary Area via a local authorised foreign exchange dealer and your emigration has been placed on record with the South African Reserve Bank. (If you are an emigrant, please complete the 'Blocked rand or non transferable account' form.)

INCOME VERIFICATION

This information is an anti-money laundering requirement prescribed by the Financial Intelligence Centre Act, 38 of 2001 (FICA). Supporting documentation may be requested.

WHAT IS YOUR SOURCE OF WEALTH?

Salary / Commission

Business earnings

Pension / Annuity

Inheritance / Donation / Trust

Court order / Maintenance

Self-employed

WHAT IS YOUR OCCUPATION?

Government employee / Judge

Executive

Administration

Arts, performance and hospitality

Self-employed

Unemployed

Professional, scientific and technical services

Academic

Sales and marketing

Crafter, trader or artisan

Religious leader

Management

Technical and sciences

Safety and security personnel

Sport professional

Retired

Other:

WHAT INDUSTRY DO YOU WORK IN?

Financial, investment and insurance activities

Information, technology and communication

Accommodation and hospitality services

Wholesale and retail trade

Arts, entertainment and recreation

Craft, trade or artisanal

Manufacturing

Construction

Non-profit / religious

Government, Municipal services or SOE

Unemployed

Professional, scientific and technical services

Administrative and support services

Human health, social work and education

Transportation and storage

Real estate

Sport

Mining

Agriculture, forestry and fishing

Gambling

Public administration, defence and social security

5. Preferred correspondence

We will send you, or the person acting on your behalf, all correspondence (e.g. statements, fund updates, ballot letters pertaining to your investment) via email. If you haven't provided an email address your quarterly statement will be sent via SMS.

You may choose who receives your quarterly investment statement:

You / the person acting on your behalf Your financial planner Both

As an investor, you will also receive our quarterly Insights if you have provided us with an email address. If you do not wish to receive this please tick this box:

6. Beneficiary nominations

Please note

- In terms of Section 37C of the Pension Funds Act, 24 of 1956, the trustees of the Fund have a duty to apportion the benefits between your dependants and nominated beneficiaries as they deem equitable.
- Investors may nominate beneficiaries by completing the 'Nominate a beneficiary' form.

7. Investment details

Would you like to transfer to the Nedgroup Investments Pension Preservation Fund **OR** Provident Preservation Fund

INTENDED PURPOSE OF INVESTMENT

Invest for retirement

NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

Invest a single amount

Invest multiple amounts

HOW ARE YOU FUNDING THIS TRANSACTION?

Transfer in

Estimated investment amount R

Transferring from: Pension Fund Provident Fund Pension Preservation Fund

Provident Preservation Fund

Do you have a paid-up certificate? Yes No

If yes please include with your application

Full name of transferor institution (Administrator)

Registered name of transferor fund

Transferor fund reference / investor number

Is this transfer due to your resignation or retirement from your employer fund?

Is this transfer as a result of a pension interest awarded in terms of a divorce order? Yes No

PHASE-INS

Do you require your investment to be phased in? Yes No

If yes, please submit the relevant Phase-in Form.

If you elect to phase-in your investment, the investment amount will be invested into the Nedgroup Investments Core Income Fund and phased in monthly over the selected period into the designated unit trust portfolio(s).

UNIT TRUST PORTFOLIO SELECTION

Please note:

- Income distributions will be reinvested (after tax if applicable)

Unit trust portfolio	Percentage allocation	Only applicable if investing with a Financial Planner	
		Initial FP fee (excl VAT) Lump sum	Annual FP fee (excl VAT)
Asset allocation portfolios		%	%
Stable			
Opportunity			
Balanced			
Income portfolios			
Core Income			
Flexible Income			
Core portfolios			
Core Guarded			
Core Diversified			
Core Accelerated			
Additional unit trust portfolios			
Total (must equal 100%)		%	

FEE ACCOUNT SELECTION (IF REQUIRED)

Indicate the unit trust portfolio for which the fee is to be recovered.

Please note

- If the funds are depleted in the specified unit trust portfolio, the fees will be recovered from the original unit trust portfolio.

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.

8. Financial planner details and declaration

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have used the Effective Annual Cost calculator and explained all fees and charges that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

9. Complete only if the investor is a Nedbank employee or direct family member of a Nedbank employee

Nedbank employee number

If not a Nedbank employee, please specify the relationship to Nedbank employee

10. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Annual financial planning fees may be recovered via the sale of units from my investment and paid to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised
signatory

Date
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

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PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.com

Directors: I Ruggiero NA Andrew CE Sevenoaks