Minor at age of 18

NEDGROUP INVESTMENTS

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document - which can be obtained from your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator available at www.nedgroupinvestments.com.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once:
   - we have received, reviewed and accepted your completed signed form and supporting documentation; and
   - we have performed all checks, verifications and assessments required in terms of FICA.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

Your guardian will be removed as the person acting on your behalf on you reaching the age of 18.

Do you wish to appoint a new person to act on your behalf? ☐ Yes ☐ No

If yes, please complete the person acting on behalf of form, if you appoint your parent you will need to do so under a special power of attorney.

2. Investor details

Investor number ☐☐☐☐☐☐☐☐☐

INVESTOR TYPE

☐ South African individual

☐ Foreign individual

PERSONAL DETAILS

Title and surname

First names

Preferred name

SA ID number

Date of birth (D D M M Y Y Y Y)

Passport number (if foreign national)

Passport expiry date (D D M M Y Y Y Y)

Passport country of issue

Nationality

Country of birth

Country of residence

WHAT IS YOUR SOURCE OF WEALTH?

- [ ] Salary / Commission
- [ ] Pension / Annuity
- [ ] Court order / Maintenance
- [ ] Business earnings
- [ ] Inheritance / Donations / Trust
- [ ] Self-employed

WHAT IS YOUR OCCUPATION?

- [ ] Government employee / Judge
- [ ] Professional, scientific and technical services
- [ ] Management
- [ ] Executive
- [ ] Academic
- [ ] Technical and sciences
- [ ] Administration
- [ ] Sales and marketing
- [ ] Safety and security personnel
- [ ] Arts, performance and hospitality
- [ ] Craft, trade or artisan
- [ ] Sport professional
- [ ] Self-employed
- [ ] Religious leader
- [ ] Retired
- [ ] Unemployed

Other: ____________________

WHAT INDUSTRY DO YOU WORK IN?

- [ ] Financial, investment and insurance activities
- [ ] Professional, scientific and technical services
- [ ] Information, technology and communication
- [ ] Administrative and support services
- [ ] Accommodation and hospitality services
- [ ] Human health, social work and education
- [ ] Wholesale and retail trade
- [ ] Transportation and storage
- [ ] Arts, entertainment and recreation
- [ ] Real estate
- [ ] Craft, trade or artisan
- [ ] Sport professional
- [ ] Manufacturing
- [ ] Mining
- [ ] Construction
- [ ] Agriculture, forestry and fishing
- [ ] Non-profit / religious
- [ ] Gambling
- [ ] Government, Municipal services or SOE
- [ ] Public administration, defence and social security
- [ ] Unemployed

3. Income, tax and residency

TAX STATUS

Is South Africa your country of primary tax residence? [ ] Yes [ ] No

Are you registered to pay tax in South Africa? [ ] Yes [ ] No

If yes, please indicate your South African tax number: [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

Are you a tax resident in any other country? [ ] Yes [ ] No

If yes, please complete the following for each country of tax residency:

	Country of tax residency	Tax identification number (TIN)	OR	Not applicable
1				[ ]
2				[ ]
3				[ ]
4				[ ]
5				[ ]

Please note

- By ticking not applicable you confirm that the country specified does not issue a tax identification number.
- If you are a USA citizen you are resident for tax purposes in the USA.

RESIDENCY STATUS

NEDGROUP INVESTMENTS

Please indicate your residency status:

☐ **Permanent resident (including citizen)** - you are a permanent resident of South Africa or the Common Monetary Area (i.e. Namibia, Swaziland and Lesotho).

☐ **Non-resident** - you have never lived in South Africa or the Common Monetary Area.

☐ **Emigrant** - you have formally emigrated from South Africa or the Common Monetary Area via a local authorised foreign exchange dealer and your emigration has been placed on record with the South African Reserve Bank. (If you are an emigrant, please complete the 'Blocked rand or non transferable account' form.)

INCOME VERIFICATION

This information is an anti-money laundering requirement prescribed by the Financial Intelligence Centre Act, 38 of 2001 (FICA). Supporting documentation may be requested.

WHAT IS YOUR SOURCE OF WEALTH?

- ☐ Salary / Commission
- ☐ Pension / Annuity
- ☐ Court order / Maintenance
- ☐ Business earnings
- ☐ Inheritance / Donation / Trust
- ☐ Self-employed

WHAT IS YOUR OCCUPATION?

- ☐ Government employee / Judge
- ☐ Professional
- ☐ Management
- ☐ Executive
- ☐ Academic
- ☐ Technical and sciences
- ☐ Administration
- ☐ Sales and marketing
- ☐ Safety and security personnel
- ☐ Arts, performance and hospitality
- ☐ Craft, trade or artisan
- ☐ Sport professional
- ☐ Self-employed
- ☐ Religious leader
- ☐ Retired
- ☐ Unemployed

Other: ____________________

WHAT INDUSTRY DO YOU WORK IN?

- ☐ Financial, investment and insurance activities
- ☐ Information, technology and communication
- ☐ Accommodation and hospitality services
- ☐ Wholesale and retail trade
- ☐ Arts, entertainment and recreation
- ☐ Craft, trade or artisan
- ☐ Manufacturing
- ☐ Construction
- ☐ Non-profit / religious
- ☐ Government, Municipal services or SOE
- ☐ Unemployed
- ☐ Professional, scientific and technical services
- ☐ Administrative and support services
- ☐ Human health, social work and education
- ☐ Transportation and storage
- ☐ Real estate
- ☐ Sport professional
- ☐ Mining
- ☐ Agriculture, forestry and fishing
- ☐ Gambling
- ☐ Public administration, defence and social security

4. Preferred correspondence

We will send you, or the person acting on your behalf, all correspondence (e.g. statements, fund updates, ballot letters pertaining to your investment) via email. If you haven't provided an email address your quarterly statement will be sent via SMS.

You may choose who receives your quarterly investment statement:

☐ You / the person acting on your behalf ☐ Your financial planner ☐ Both

As an investor, you will also receive our quarterly Insights if you have provided us with an email address. If you do not wish to receive this please tick this box: ☐

NEDGROUP INVESTMENTS

5. Investment details

INTENDED PURPOSE OF INVESTMENT

☐ Invest for 0 – 3 years ☐ Invest for 3 - 5 years ☐ Invest for over 5 years

NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

☐ Invest a single amount with frequent withdrawals
☐ Invest multiple amounts with frequent withdrawals
☐ Invest a single amount with occasional withdrawals
☐ Invest multiple amount with occasional withdrawals

6. Investor bank account details

It is mandatory to complete this section.

Please note

- The following bank account details will be used if 'payout' has been selected as your income distribution payment method and for all other withdrawal requests unless notified of new bank account details.
- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank) ____

Name of bank ____

Account number ____

Name of branch ____ Branch code ____

Account type ☐ Current ☐ Savings Country ____

7. Financial planner details and declarations

☐ I would like to retain the services of the financial planner linked to my investment.

Name of financial planning business ____

Name of financial planner ____ Code ____

Contact number + __ __ (0) ____

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have used the Effective Annual Cost calculator and explained all fees and charges that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature ____ Date D D M M Y Y Y Y

Please confirm the fees that are being paid to your financial planner; If you are invested in a unit price class the annual financial planner fee specified in the portfolio characteristics document will be paid to the new financial planner.

Account number	Unit trust portfolio	Initial debit order fee (excl VAT)	Annual financial planner fee (excl VAT)

NEDGROUP INVESTMENTS

8. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Where the financial planning details section has been completed:

- The financial planning business is my appointed financial planning business until I instruct otherwise.
- The financial planner listed, as authorised representative of the financial planning business, is my appointed financial planner.
- My appointed financial planning business must be paid the initial and annual financial planning fees.
- Annual financial planning fees may be recovered via the sale of units from my investment and paid to my financial planning business as long as it remains registered to render services in respect of my investment.
- My appointed financial planning business will have access to my investment details via Nedgroup Investments' secure online website.

Investor / Authorised signatory		Date	D D M M Y Y Y Y
Name		Capacity	