

# Start a debit order

## Endowment for legal entities

### 1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document which can be obtained from our website: [www.nedgroupinvestments.com](http://www.nedgroupinvestments.com), your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator also available from our website.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once:
  - we have received, reviewed and accepted your completed and signed form and support documentation;
  - we have performed all checks, verifications and assessments required in terms of FICA
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

### 2. Investor details

Investor number

#### ENTITY TYPE

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> South African listed company   | <input type="checkbox"/> Partnership             | <input type="checkbox"/> Close corporation              |
| <input type="checkbox"/> South African unlisted company | <input type="checkbox"/> South African trust     | <input type="checkbox"/> Foreign trust                  |
| <input type="checkbox"/> Foreign company                | <input type="checkbox"/> Government entity / SOE | <input type="checkbox"/> Unregulated fund / Association |
| <input type="checkbox"/> Retirement fund                | <input type="checkbox"/> Medical Aid             | <input type="checkbox"/> Long-term insurer              |
| <input type="checkbox"/> Investment schemes manager     |  |   |

Registered name

Trading name local

Trading name foreign

Registration number

Country of organisation or incorporation

Date of incorporation          
D D M M Y Y Y Y

#### SOURCE OF WEALTH

- Company profit       Donations       Investments       Contributions

### INDUSTRY (EXCLUDING TRUSTS)

- |   |   |
|---|---|
| <input type="checkbox"/> Financial, investment and insurance activities | <input type="checkbox"/> Professional, scientific and technical services    |
| <input type="checkbox"/> Information, technology and communication      | <input type="checkbox"/> Administrative and support services                |
| <input type="checkbox"/> Accommodation and hospitality services         | <input type="checkbox"/> Human health, social work and education            |
| <input type="checkbox"/> Wholesale and retail trade                     | <input type="checkbox"/> Transportation and storage                         |
| <input type="checkbox"/> Arts, entertainment and recreation             | <input type="checkbox"/> Real estate  |
| <input type="checkbox"/> Craft, trade or artisanal                      | <input type="checkbox"/> Sport  |
| <input type="checkbox"/> Manufacturing                                  | <input type="checkbox"/> Mining   |
| <input type="checkbox"/> Construction                                   | <input type="checkbox"/> Agriculture, forestry and fishing                  |
| <input type="checkbox"/> Non-profit / religious                         | <input type="checkbox"/> Gambling   |
| <input type="checkbox"/> Government, Municipal services or SOE          | <input type="checkbox"/> Public administration, defence and social security |

### FOR TRUSTS ONLY - PURPOSE OF TRUST

- |                                       |   |   |  |
|---------------------------------------|---|---|--|
| <input type="checkbox"/> Family trust | <input type="checkbox"/> Business trust | <input type="checkbox"/> Charitable trust | <input type="checkbox"/> Special needs trust |
|---------------------------------------|---|---|--|

### NATURE OF LEGAL ENTITY ACTIVITY

- |   |   |
|---|---|
| <input type="checkbox"/> Management                         | <input type="checkbox"/> Sales                |
| <input type="checkbox"/> Administrative and support service | <input type="checkbox"/> Distribution         |
| <input type="checkbox"/> Marketing                          | <input type="checkbox"/> Maintenance          |
| <input type="checkbox"/> Production and supply              | <input type="checkbox"/> Financial services   |
| <input type="checkbox"/> Customer service                   | <input type="checkbox"/> Wholesale and retail |
| <input type="checkbox"/> Entertainment / hospitality        | <input type="checkbox"/> Trust management     |

## 3. Investment details

### DEBIT ORDER DETAILS

Please indicate the account group number into which the debit order is to be processed

Total debit order investment amount R  Debit order to commence in the month of        
M M Y Y Y Y

Debit order collection day  1st  15th  27th

Escalation rate per annum  5%  10%  15% Other  %

#### Please note

- Debit order percentage cannot exceed 20%.
- For your debit order to come into effect on the selected date, your debit order instruction must reach us no later than five business days before the selected date. If all requirements are not met, your debit order instruction will be processed on the selected date in the following month.
- Debit orders take 45 days to clear.

### INTENDED PURPOSE OF INVESTMENT

- Invest for over 5 years

### NATURE OF RELATIONSHIP WITH NEDGROUP INVESTMENTS

- Invest multiple amounts with occasional withdrawals

### HOW ARE YOU FUNDING THIS TRANSACTION?

- |   |  |                                     |  |
|---|--|-------------------------------------|--|
| <input type="checkbox"/> Company profit | <input type="checkbox"/> Donations       | <input type="checkbox"/> Investment | <input type="checkbox"/> Contributions |
| <input type="checkbox"/> Sale of assets | <input type="checkbox"/> Venture capital |                                     |  |

## UNIT TRUST PORTFOLIO SELECTION

### START A NEW DEBIT ORDER INTO AN EXISTING UNIT TRUST PORTFOLIO

			Only applicable if investing with a Financial Planner
Unit trust portfolio	Account number	Debit order amount to be invested	Initial FP fee (excl VAT)
			%
		<b>Total (R)</b>	

**Please note:**

- All features of your existing account will apply to the additional investment amount, including annual financial planning fees (if applicable).
- A debit order may not be selected if you have a recurring withdrawal being processed against the same unit trust portfolio(s) selected for the debit order.

**Financial planning fees:**

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.

**START A NEW DEBIT ORDER:**

**UNIT TRUST PORTFOLIO SELECTION**

**Please note**

- Income distributions will be reinvested (after tax if applicable).

Unit trust portfolio	Debit order amount to be invested	Only applicable if investing with a Financial Planner	
		Initial FP fee (excl VAT)	Annual FP fee (excl VAT)
		%	%
<b>Total (R)</b>			

**FINANCIAL PLANNING FEES**

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.
- Initial fees in respect to debit orders will be deducted off each debit order amount before investing into the selected unit trust portfolio.
- If you have nominated an account from which the annual fee is to be recovered, your selection will apply to your debit order investment.

## 4. Investor bank account details

It is mandatory to complete this section.

### Please note

- No third party payments will be processed.
- If the bank account used to fund this investment differs from the one below please provide us with alternate bank account details by completing the Alternate bank account form available on our website.
- Payments will be delayed if the name of the account holder as registered with the bank is different from that completed below.

Name of account holder (as registered with bank)

Name of bank

Account number

Name of branch  Branch code

Account type  Current  Savings Country

## 5. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date   
D D M M Y Y Y Y

## 6. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Precinct V&A Waterfront Cape Town 8001  
PO Box 1510 Cape Town 8000 South Africa

[www.nedgroupinvestments.com](http://www.nedgroupinvestments.com)

Directors: I Ruggiero NA Andrew CE Sevenoaks