

# Switch

## Nedgroup Investments Pension Preservation Fund Nedgroup Investments Provident Preservation Fund



The Nedgroup Investments Pension Preservation Fund is administered by Silica Financial Administration Solutions (Pty) Ltd. In this document references to “we”; “us”; “our” are references to the Fund and/or the administrator.

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### 1. What you need to know

1. Before completing this form please read the latest Investment Agreement – which can be obtained from your financial planner or our Client Service Centre.
2. If you are switching into a new unit trust portfolio, you will be invested in the class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to [nedgroupinvestments@silica.net](mailto:nedgroupinvestments@silica.net) (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

### 2. Investor details

Investor number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Title and surname	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
First names	<input type="text"/>
SA ID number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport number (if foreign national)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport expiry date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> D D M M Y Y Y Y

### 3. Switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

#### UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details	
		%	Rand amount

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch:

- Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- Continue into current unit trust portfolio
- Be cancelled

**Please note**

- If you have elected to switch out of the Nedgroup Investments Core Income Fund funding a phase-in, the phase-in will be treated as follows:
  - If you are processing a 100% switch, the phase-in will be cancelled.
  - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.

#### UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio				Only applicable if investing with a financial planner
Unit trust portfolio	Account number	Switch in details		Initial FP fee
		%	Rand Amount	
<b>Total</b>		<b>%</b>	<b>R</b>	

**Please note**

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

**Financial planning fees**

- If no fees have been specified, 0% will apply.

New unit trust portfolio			Only applicable if investing with a financial planner	
Unit trust portfolio	Switch in details		Initial FP fee	Annual FP fee
	%	Rand Amount	%	%
<b>Total</b>		<b>%</b>	<b>R</b>	

**Please note**

- All income distribution will be reinvested (after tax if applicable)

#### FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.

## 4. Financial planner details and declaration

Name of financial planning business

Name of financial planner  Code

Contact number +  (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date   
D D M M Y Y Y Y

## 5. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date          
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Precinct V&A Waterfront Cape Town 8001  
PO Box 1510 Cape Town 8000 South Africa

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Directors: I Ruggiero NA Andrew CE Sevenoaks

# Addendum

## Additional switch details

Please complete this section for each unit trust portfolio you would like to switch out of:

### UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details	
		%	Rand amount

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch:

- Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- Continue into current unit trust portfolio
- Be cancelled

#### Please note

- If you have elected to switch out of the Nedgroup Investments Core Income Fund funding a phase-in, the phase-in will be treated as follows:
  - If you are processing a 100% switch, the phase-in will be cancelled.
  - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.

### UNIT TRUST PORTFOLIO(S) SWITCHING INTO

#### Existing unit trust portfolio

Only applicable if investing with a financial planner

Unit trust portfolio	Account number	Switch in details		Initial FP fee
		%	Rand amount	
<b>Total (must equal 100%)</b>		<b>%</b>	<b>R</b>	

#### New unit trust portfolio

Only applicable if investing with a financial planner

Unit trust portfolio	Switch in details		Initial FP fee	Annual FP fee
	%	Rand Amount		
<b>Total</b>		<b>%</b>	<b>R</b>	

#### Please note

- All income distributions will be reinvested (after tax if applicable).

### FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.