Switch

Nedgroup Investments Retirement Annuity Fund

The Nedgroup Investments Retirement Annuity Fund is administered by Silica Financial Administration Solutions (Pty) Ltd. In this document references to “we”; “us”; “our” are references to the Fund and/or the administrator.

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement - which can be obtained from your financial planner or our Client Service Centre.
2. Your investment will be invested into the unit trust portfolio class specified on the relevant Portfolio Characteristics document. If you start a debit order on an existing unit trust portfolio, you will remain invested in that class.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to **nedgroupinvestments@silica.net** (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number

Individuals:

Title and surname

First names

SA ID number

Passport number (if foreign national)

Expiry date

D D M M Y Y Y Y

Country of issue

Legal entities:

Registered name

Registration number

3. Switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction		
				Continue	Cancel	Switch
		%	Rand amount	✓ OR	✓ OR	✓

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch:

- ☐ Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- ☐ Continue into current unit trust portfolio
- ☐ Be cancelled

Please note

If you do not indicate how we should administer current recurring instructions:

- Debit orders will continue.
- Recurring withdrawals will continue unless you requested a 100% switch from the portfolio funding the payment.
- If you have elected to switch out of the Nedgroup Investments Core Income Fund funding a phase-in, the phase-in will be treated as follows:
  - If you are processing a 100% switch, the phase-in will be cancelled.
  - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of any units, any accrued fees will be paid to the financial planner before the switch is processed.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio

Unit trust portfolio	Account number	Switch in details		Only applicable if investing with a financial planner Initial FP fee
		%	Rand Amount	%
	Total	%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

Financial planning fees

- If no fees have been specified, 0% will apply.

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New unit trust portfolio

Unit trust portfolio	Switch in details		Only applicable if investing with a financial planner: Initial FP fee	Only applicable if investing with a financial planner: Annual FP fee
	%	Rand Amount	%	%
Total	%	R		

Please note

- All income distribution will be reinvested (after tax if applicable)

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee is higher than the maximum is specified, the maximum will apply.

4. Financial planner details and declaration

Name of financial planning business

Name of financial planner   Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date D D M M Y Y Y Y

5. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	
Authorised signatory (if applicable)		Date	D D M M Y Y Y Y
Name		Capacity	

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)
Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

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PO Box 1510 Cape Town 8000 South Africa
www.nedgroupinvestments.com
Directors: I Ruggiero NA Andrew CE Sevenoaks

Addendum

Additional switch details

Please complete this section for each additional switch required:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust postfolio	Account number	Switch out details		Debit order instruction		
				Continue	Cancel	Switch
		%	Rand amount	✓ OR	✓ OR	✓

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch:

- ☐ Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- ☐ Continue into current unit trust portfolio
- ☐ Be cancelled

Please note

• If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.

• If you do not indicate how we should administer current recurring instructions:

- Debit orders will continue.
- If you have elected to switch out of the Nedgroup Investments Core Income Fund funding a phase-in, the phase-in will be treated as follows:
  - If you are processing a 100% switch, the phase-in will be cancelled.
  - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio

Unit trust portfolio	Account number	Switch in details		Only applicable if investing with a financial planner Initial FP fee
		%	Rand amount	%
	Total (must equal 100%)	%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.

New unit trust portfolio

Unit trust portfolio	Switch in details		Only applicable if investing with a financial planner: Initial FP fee	Only applicable if investing with a financial planner: Annual FP fee
	%	Rand Amount	%	%
Total	%	R		

Please note

- All income distributions will be reinvested (after tax if applicable).

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.

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