

Switch

Tax free investment



1. What you need to know

Compliance with the Financial Intelligence Centre Act, 38 of 2001 (FICA) is required to process your instruction - if you have not previously submitted the necessary supporting documentation to us or your authorised financial planner, please do so with this form.

1. Before completing this form please read the latest relevant Investment Agreement, Fund Factsheet and Portfolio Characteristics document - which can be obtained from our website: www.nedgroupinvestments.com, your financial planner or our Client Service Centre.
2. If you are switching into a new unit trust portfolio, you will be invested in the class specified on the relevant Portfolio Characteristics document.
3. We will process your instruction once we have received a completed and signed form.
4. The daily cut-off for receipt of instructions is 14:00.
5. Information filled in outside of the relevant fields will not be considered when processing your instruction.
6. Return the completed and signed form with the relevant supporting documents to us via email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
7. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Title and surname	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
First names	<input type="text"/>
SA ID number	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport number (if foreign national)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Passport expiry date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> D D M M Y Y Y Y

3. Switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction				
				Continue	Cancel	Switch		
		%	Rand amount	✓	OR	✓	OR	✓

Please note

- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed
- If you do not indicate how we should administer existing debit orders they will continue.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio				Only applicable if investing with a financial planner
Unit trust portfolio	Account number	Switch in details		Initial FP fee max 3% (excl VAT)
		%	Rand amount	
Total		%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

New unit trust portfolio

Please note

- All income distributions will be reinvested.

New unit trust portfolio			Only applicable if investing with a financial planner	
Unit trust portfolio	Switch in details		Initial FP fee max 3% (excl VAT)	Annual FP fee max 1%(excl VAT)
				Sale of units
	%	Rand Amount	%	%
Total		%	R	

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

4. Financial planner details and declaration

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

5. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised
signatory

Date

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
D	D	M	M	Y	Y	Y	Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Clocktower Precinct V&A Waterfront Cape Town 8001
PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.com

Directors: I Ruggiero NA Andrew CE Sevenoaks

Addendum

Additional switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction				
				Continue	Cancel	Switch		
		%	Rand amount	✓	OR	✓	OR	✓

Please note

- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.
- If you do not indicate how we should administer existing debit orders they will continue.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio

Unit trust portfolio	Account number	Switch in details		Only applicable if investing with a financial planner
				Initial FP fee max 3% (excl VAT)
		%	Rand amount	
Total		%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

New unit trust portfolio

Please note

- All income distributions will be reinvested.

Unit trust portfolio	Switch in details		Only applicable if investing with a financial planner	
			Initial FP fee max 3% (excl VAT)	Annual FP fee max 1%(excl VAT)
				Sale of units
	%	Rand amount	%	%
Total		%	R	

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

Annual fees

- If you have nominated an account from which the 'sale of unit' is to be recovered, your selection will apply to the switch.

