

1. What you need to know

1. Before completing this form please read the latest relevant Investment Agreement, Fund Fact Sheet and Portfolio Characteristics document which can be obtained from our website: www.nedgroupinvestments.com, your financial planner or our Client Service Centre. To understand the charges that may be incurred when investing, please use the Effective Annual Cost calculator also available from our website.
2. We will process your instruction once:
 - we have received, reviewed and accepted your completed and signed form and support documentation; and
 - we have performed all checks, verifications and assessments required in terms of FICA.
3. The daily cut-off for receipt of instructions is 14:00; 12:00 for the Nedgroup Investments Money Market Fund.
4. Information filled in outside of the relevant fields will not be considered when processing your instruction.
5. Return the completed and signed form with the relevant supporting documents to us via email to nedgroupinvestments@silica.net (please print and sign the form before scanning and emailing it to us, as an authorised signature is required for processing).
6. If you have any questions about this form please contact your financial planner or our Client Service Centre on **0860 123 263** (from within RSA) or on **+27 21 416 6011** (from outside RSA).

2. Investor details

Investor number

Individuals:

Title and surname

First names

SA ID number

Passport number
(if foreign national)

Expiry date

D D M M Y Y Y Y

Country of issue

Legal entities:

Registered name

Registration number

3. Switch details

Please complete the addendum for each additional unit trust portfolio you would like to switch out of:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction			Recurring withdrawal instruction		
				Continue	Cancel	Switch	Continue	Cancel	Switch
		%	Rand amount	✓ OR	✓ OR	✓	✓ OR	✓ OR	✓

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch.

- Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- Continue into current unit trust portfolio
- Be cancelled

Please note

- This transaction may attract Capital Gains Tax (CGT).
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.
- If you do not indicate how we should administer current recurring instructions:
 - Debit orders will continue.
 - Recurring withdrawals will continue unless you requested a 100% switch from the unit trust portfolio funding the payment.
- If you have elected to switch out of the Core Income Fund funding a phase-in, the phase-in will be treated as follows:
 - If you are processing a 100% switch, the phase-in will be cancelled.
 - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio				Only applicable if investing with a financial planner
Unit trust portfolio	Account number	Switch in details		Initial FP fee max 3% (excl VAT)
		%	Rand Amount	
Total		%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

New unit trust portfolio					Only applicable if investing with a financial planner		
Unit trust portfolio	Switch in details		Income distribution		Initial FP fee max 3% (excl VAT)	Annual FP fee max 1%(excl VAT)	
			Payout	Reinvest			
	%	Rand Amount	✓	OR	✓	%	%
Total		%	R				

Please note

- If no income distribution instruction is provided your distribution will be set to reinvest.
- If you select payout as your income distribution option:
 - distribution amounts will be paid electronically into the investor's bank account.
 - distribution amounts will not be paid to third party accounts or by cheque.
 - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.

4. Security cession declaration

Please confirm whether any of the unit trust portfolio(s) from which you have requested a switch have been ceded as security Yes No

If yes, please return this form together with a letter from the financial institution to which the investment is ceded, agreeing to your switch request.

5. Financial planner details and declaration

Name of financial planning business

Name of financial planner Code

Contact number + (0)

I confirm that:

- I have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 (FAIS) to the investor.
- I have explained all fees that relate to this investment to the investor.
- I am authorised by the financial planning business to sell this investment / product in terms of FAIS.

Financial planner signature

Date
D D M M Y Y Y Y

6. Investor declaration

I confirm and certify that:

- I am responsible for my investment decisions and have considered whether this investment is right for my needs.
- I confirm that all information provided in this application is true and correct and that I am not aware of any other information that may affect this application negatively.
- Where I am acting on behalf of another person, or as a representative of a legal entity, I confirm that I am duly authorised to complete and sign this form.
- I understand and agree that this application will be governed by the relevant and latest Investment Agreement and I have read and understood the Portfolio Characteristics document and the fund fact sheet that relates to the unit trust portfolios I am investing in.
- I have used the Effective Annual Cost calculator and I am aware of the charges that may be incurred.
- I authorise Nedgroup Investments to accept this instruction as submitted (electronically or otherwise) and agree that Nedgroup Investments will not be held liable for acting on this application and/or any instructions contained herein.
- The information contained in this form may be reported to the South African tax authorities.

Investor / Authorised signatory

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Authorised signatory (if applicable)

Date
D D M M Y Y Y Y

Name

Capacity

Nedgroup Investments (Pty) Limited (Company registration number 1996/017075/07)

Incorporating Nedgroup Collective Investments (RF) Proprietary Limited (Company registration number 1997/001569/07); Nedgroup Investment Advisors Limited (Company registration number 1998/017581/07) an authorised Financial Services Provider (FSP Licence No. 1652) Sponsor of the Nedgroup Investments Retirement Funds

Nedbank Clocktower Precinct V&A Waterfront Cape Town 8001
PO Box 1510 Cape Town 8000 South Africa

www.nedgroupinvestments.com

Directors: I Ruggiero NA Andrew CE Sevenoaks

Addendum

Additional switch details

Please complete this section for each unit trust portfolio you would like to switch out of:

UNIT TRUST PORTFOLIO SWITCHING FROM

Unit trust portfolio	Account number	Switch out details		Debit order instruction			Recurring withdrawal instruction						
				Continue	Cancel	Switch	Continue	Cancel	Switch				
		%	Rand amount	✓	OR	✓	OR	✓	✓	OR	✓	OR	✓

If you have a phase-in that is being processed into the unit trust portfolio that you are switching out of, please indicate how this phase-in should be treated after the switch:

- Switched to new unit trust portfolio(s) in the same proportion as the switch instruction
- Continue into current unit trust portfolio
- Be cancelled

Please note

- This transaction may attract Capital Gains Tax (CGT).
- If you are making a 100% switch from a unit trust portfolio that pays an annual financial planning fee via the sale of units, any accrued fees will be paid to the financial planner before the switch is processed.
- If you do not indicate how we should administer current recurring instructions:
 - Debit orders will continue.
 - Recurring withdrawals will continue unless you requested a 100% switch from the unit trust portfolio funding the payment.
- If you have elected to switch out of the Core Income Fund funding a phase-in, the phase-in will be treated as follows:
 - If you are processing a 100% switch, the phase-in will be cancelled.
 - If you are processing a partial switch, the phase-in will be recalculated based on the remaining number of months and will continue.

UNIT TRUST PORTFOLIO(S) SWITCHING INTO

Existing unit trust portfolio

Unit trust portfolio	Account number	Switch in details		Only applicable if investing with a financial planner
				Initial FP fee max 3% (excl VAT)
		%	Rand Amount	
Total		%	R	

Please note

- All features of your existing account will remain unchanged, including annual financial planning fees (if applicable).

New unit trust portfolio

Only applicable if investing with a financial planner

Unit trust portfolio	Switch in details		Income distribution		Initial FP fee max 3% (excl VAT)	Annual FP fee max 1% (excl VAT)	
			Payout	Reinvest			
	%	Rand Amount	✓	OR	✓	%	%
Total	%	R					

Please note

- If no income distribution instruction is provided your distribution will be set to reinvest.
- If you select payout as your income distribution option:
 - distribution amounts will be paid electronically into the investor's bank account.
 - distribution amounts will not be paid to third party accounts or by cheque.
 - if the payment is rejected, your distribution will be reinvested using the ruling price into the originating unit trust portfolio and your income distribution option will be changed to 'reinvest'.

FEE ACCOUNT SELECTION (IF REQUIRED)

Indicate the unit trust portfolio from which the fee is to be recovered.

FINANCIAL PLANNING FEES

- If no fees have been specified, 0% will apply.
- If a fee higher than the maximum is specified, the maximum will apply.