

## Intermediary application

1. Applicant details				
I/We apply for a Terms of Business A	Appointment for	r the purpose of introducing bus	siness to funds ma	anaged by Nedgroup Investments (IOM) Limited.
Company name				
Individual financial advisor names: (if appropriate)				
Registration number				
Registered office address			Postal addre	ess
Postal code			Postal co	ode
Length of time at the above address				
Telephone	(0)			
Fax	(0)			
E-mail address				
Nature of business e.g. accountant, broker				
Legal status (i.e. company, partners	hip, sole trader)	)		
Regulatory body & registered number	er (if applicable	e)		
This application must be accompani	ed by a certified	d copy of the Certificate of inco	rporation or a certi	ified copy of the Certificate of Registration.
Authorised signatories permitted	to authenticat	te documentation		
Name		Position		Specimen signature
Please continue on a separate shee In order to comply with our Anti Mor residential address of all individuals	ney Laundering		ertified copies of i	dentification documentation and verification of the
2. Account manager deta	ails			
This section is to be completed by ye	our Nedgroup I	Investments Consultant.		
Account manager:				

## 3. Commission details

Commission is settled by electronic transfer in US dollars, sterling, euros or South African rands, please indicate your preferred payment currency below. Only one currency can be selected and where no currency is indicated, the default payment currency will be US Dollars. Changes to commission details must be made in writing to Nedgroup Investments (IOM) Ltd. Please note that Nedgroup Investments (IOM) Ltd are not liable for any movement in foreign exchange rates.

My preferred commission payment currency is					
Bank name					
Bank address					
Postal code					
Account number					
SWIFT code					
Account name					
Branch code					
OR					
Transfer to Nedgroup Investments account number					
4. Conduct					
a) Are you precluded from holding Terms of Business by of any of the Directors/Partners or Principals?	virtue of any agreement or official position or terms of employment	Yes No			
b) Have you, any of the Directors/Partners or Principal associated ever had Terms of Business refused or ca	als or any business with which you/they have previously been ncelled and, if so, by which companies?	Yes No			
	ever been declared bankrupt or declared insolvent or have any of or or otherwise with a company which has gone into liquidation or cease trading by reason of insolvency?	Yes No			
d) Have you, any of the Directors/Partners or Principal motoring offence?	ls ever been convicted of a criminal offence other than a minor	Yes No			
e) Has (or is) the Company/Business been subject to any disciplinary action by any regulatory body or have any of the Directors/ Partners or Principals been associated with any company or business which has been subject to any such action or had its authority revoked or suspended?					
If the answer to any of the foregoing questions is YES, ple	ease provide full details below.				
4. Declaration					
I confirm that the information and answers given above an latest Intermediary Terms of Business and hereby agree to	e true to the best of my knowledge. I also confirm that I have receive	ed and considered the			
Signed	Title				
Date D M M Y Y Y					



## Checklist



	1. Full details of company provided				
	2. All requested company documentation provided				
	3. KYC enclosed for all individuals named on this form				
	4. Commission details completed				
	5. All Section "4" questions answered				
	6. Declaration signed				
First Floor St Mary's Cou 20 Hill Street Douglas Isle of Man IM Tel: +44 1624 Fax: +44 1624 Email: helpdes		St Mary's Court 20 Hill Street			
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