

# Nedgroup Investments International

## Client details update form



### 1. Client details

#### FIRST HOLDER

|  |   |   |
|--|---|---|
| Client number                            | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/>   |   |
| Title and surname                        | <input style="width: 90%; height: 20px; border: 1px solid #ccc;" type="text"/>  |   |
| Initials and first names                 | <input style="width: 90%; height: 20px; border: 1px solid #ccc;" type="text"/>  |   |
| Former / maiden name                     | <input style="width: 90%; height: 20px; border: 1px solid #ccc;" type="text"/>  |   |
| National ID number                       | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/>     | Nationality <input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/>  |
| Passport number                          | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/>     | Occupation <input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/>   |
| Date of birth                            | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/>   |   |
| Town of birth                            | <input style="width: 250px; height: 20px; border: 1px solid #ccc;" type="text"/>  | Country of birth <input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/>   |
| Employer<br>(former employer if retired) | <input style="width: 90%; height: 20px; border: 1px solid #ccc;" type="text"/>  |   |
| Residential address                      | <input style="width: 250px; height: 20px; border: 1px solid #ccc;" type="text"/><br><input style="width: 250px; height: 20px; border: 1px solid #ccc;" type="text"/><br><input style="width: 250px; height: 20px; border: 1px solid #ccc;" type="text"/>  | Correspondence address<br>(if different) <input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/><br><input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/><br><input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/> |
| Country                                  | <input style="width: 250px; height: 20px; border: 1px solid #ccc;" type="text"/>  | Country <input style="width: 150px; height: 20px; border: 1px solid #ccc;" type="text"/>  |
| Postal code                              | <input style="width: 100px; height: 20px; border: 1px solid #ccc;" type="text"/>  | Postal code <input style="width: 100px; height: 20px; border: 1px solid #ccc;" type="text"/>  |
| Telephone (home)                         | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> (0) <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> |   |
| Telephone (work)                         | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> (0) <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> |   |
| Mobile                                   | <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> (0) <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc;" type="text"/>   |   |
| Email address                            | <input style="width: 90%; height: 20px; border: 1px solid #ccc;" type="text"/>  |   |

#### FIRST HOLDER: Self Certification – for FATCA and CRS

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information and other financial information with respect to an Investor's interests with relevant tax authorities. This Agreement is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this section of the Agreement or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint account holders each investor is required to complete a separate Self-Certification.

Please complete sections A and B below:

**Section A:**

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick)

My US Tax Identification Number (TIN) is:

OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick)

**Section B: CRS Declaration of Tax Residency (please note you may chose more than one country)**

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

| Country of Tax Residency | Tax ID Number |
|--------------------------|---------------|
|                          |               |
|                          |               |
|                          |               |
|                          |               |

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

**DECLARATION AND UNDERTAKINGS: FIRST HOLDER**

I agree that the personal information contained in this application form together with any other personal information furnished in connection with the investment in the Company/ies (the "Information") will be used by the Company/ies for the purposes of processing this application form, managing and administering any of the services provided in relation to the investment in the Company/ies, registration and transfer agency services provided to the Company/ies, data storage and maintenance, for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations (including statutory reporting obligations to the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators). I/We agree that the Information may also be disclosed to third parties including, but not limited to, the Administrator, Investment Manager and Distributor, Depositary, Registrar and Transfer Agent and/or their respective delegates and agents for the purposes of providing services to the Company/ies in relation to the investment pursuant to their respective agreements with the Company/ies and, where necessary or for legitimate business interests, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators.

I note that, in connection with the above purposes, the information may be transferred to countries outside the European Economic Area ("EEA") (including India) that may not have data protection laws or have data protection laws that do not provide the same level of protection as EU data protection law. Such transfer will only be carried out for the purposes described above or as otherwise required by law or regulation, and in accordance with applicable data protection legislation.

By signing this application form, I/we consent (to the extent required) to the processing of the information relating to me/us, including the transfer of the information outside the EEA, in the manner outlined above. To the extent that the Information relates to another individual, I/we warrant that I/we have been authorised by that individual to provide the information to the Company/ies and where necessary to consent on that individual's behalf to the use of the Information as relates to that individual, including the transfer of the Information outside the EEA, in the manner outlined above.

I acknowledge that my/our relevant data will be retained by all relevant parties for a minimum period of six years from the date of the full redemption of all shares held in the Company/ies.

I note that we have the right to request a copy of any personal data held about me/us and I/we have the right to have any inaccuracies in the data corrected.

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Companies promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature

Date



Please complete sections A and B below:

**Section A:**

I certify that I am a US Citizen and/or resident in the US for tax purposes (please tick)

My US Tax Identification Number (TIN) is:

OR

I certify that I am NOT a US Citizen or resident in the US for tax purposes (please tick)

**Section B: CRS Declaration of Tax Residency (please note you may chose more than one country)**

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

| Country of Tax Residency | Tax ID Number |
|--------------------------|---------------|
|                          |               |
|                          |               |
|                          |               |
|                          |               |

**NOTE:** Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

**DECLARATION AND UNDERTAKINGS: SECOND HOLDER**

I agree that the personal information contained in this application form together with any other personal information furnished in connection with the investment in the Company/ies (the "Information") will be used by the Company/ies for the purposes of processing this application form, managing and administering any of the services provided in relation to the investment in the Company/ies, registration and transfer agency services provided to the Company/ies, data storage and maintenance, for the prevention of money laundering, financing of terrorism or fraud, and compliance with any legal and regulatory obligations (including statutory reporting obligations to the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators). I/We agree that the Information may also be disclosed to third parties including, but not limited to, the Administrator, Investment Manager and Distributor, Depository, Registrar and Transfer Agent and/or their respective delegates and agents for the purposes of providing services to the Company/ies in relation to the investment pursuant to their respective agreements with the Company/ies and, where necessary or for legitimate business interests, to auditors, the Central Bank of Ireland, the Irish Revenue Commissioners or other relevant regulators.

I note that, in connection with the above purposes, the information may be transferred to countries outside the European Economic Area ("EEA") (including India) that may not have data protection laws or have data protection laws that do not provide the same level of protection as EU data protection law. Such transfer will only be carried out for the purposes described above or as otherwise required by law or regulation, and in accordance with applicable data protection legislation.

By signing this application form, I/we consent (to the extent required) to the processing of the information relating to me/us, including the transfer of the information outside the EEA, in the manner outlined above. To the extent that the Information relates to another individual, I/we warrant that I/we have been authorised by that individual to provide the information to the Company/ies and where necessary to consent on that individual's behalf to the use of the Information as relates to that individual, including the transfer of the Information outside the EEA, in the manner outlined above.

I acknowledge that my/our relevant data will be retained by all relevant parties for a minimum period of six years from the date of the full redemption of all shares held in the Company/ies.

I note that we have the right to request a copy of any personal data held about me/us and I/we have the right to have any inaccuracies in the data corrected.

I declare that the information provided in this Agreement is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this Agreement and the information regarding the Account Holder may be reported to the tax authorities of the country in which this account is maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the Companies promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Signature

Date